

1 The opinion in support of the decision being entered today was *not* written  
2 for publication and is *not* binding precedent of the Board  
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4 UNITED STATES PATENT AND TRADEMARK OFFICE  
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7 BEFORE THE BOARD OF PATENT APPEALS  
8 AND INTERFERENCES  
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11 *Ex parte* BERNARDUS JOHANNES PRONK  
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14 Appeal 2007-1786  
15 Application 10/121,365<sup>1</sup>  
16 Technology Center 3700  
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19 Decided: June 22, 2007  
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22 *Before:* WILLIAM F. PATE, III, TERRY J. OWENS, and STUART S.  
23 LEVY, *Administrative Patent Judges.*  
24

25 LEVY, *Administrative Patent Judge.*  
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28 DECISION ON APPEAL  
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30 STATEMENT OF CASE

31 Appellant appeals under 35 U.S.C. § 134 (2002) from a final rejection  
32 of claims 1-7. We have jurisdiction under 35 U.S.C. § 6(b) (2002).

33 Appellant invented a medical imaging device, method, and computer  
34 program for use in a safety critical environment. (Specification 1.) In

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<sup>1</sup> Application filed April 12, 2002. The real party in interest is Koninklijke  
Phillips Electronics N.V.

1 particular, one or more peripheral devices are provided with a separate  
2 emergency control means which are arranged to allow the peripheral  
3 apparatus provided with emergency control means to operate independently  
4 from the central control unit. (Specification 1-2.)

5 Claim 1 is the only independent claim under appeal. Claim 1 reads as  
6 follows:

7 1. A medical device comprising:  
8 a central control unit for controlling the medical imaging  
9 device;  
10 a plurality of peripheral apparatus for the operation of the  
11 medical imaging device, the plurality of peripheral apparatus in operative  
12 communication with the central control unit, one or more of the peripheral  
13 apparatus being provided with emergency control means which are arranged  
14 to allow the peripheral apparatus to operate independently from the central  
15 control unit; and  
16 an emergency control unit for controlling the emergency control  
17 means independently from the central control unit.

18  
19 The Examiner rejected claims 1-3 and 5 under 35 U.S.C. § 102(b) as  
20 being anticipated by Ishii<sup>2</sup>.

21 The Examiner rejected claims 4, 6, and 7 under 35 U.S.C. § 103(a) as  
22 being unpatentable over Ishii.

23 The prior art relied upon by the Examiner in rejecting the claims on  
24 appeal is:

25 Ishii<sup>3</sup> JP Hei3-109648 May 9, 1991

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27 With regard to the rejection of claims 1-3 and 5 under 35 U.S.C.

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<sup>2</sup> We rely upon the English language translation dated July 2006, from Schreiber Translations, Inc.

<sup>3</sup> Although the translation of the reference refers to the inventor as Ishio, we will refer to the inventor as Ishii to be consistent with the Answer (p. 2) and the Brief (p. 3).

1 § 102(b) Appellant contends that claim 1 is not anticipated by Ishii because  
2 Ishii is directed to backup computing processors and is not directed to  
3 emergency control means and an emergency control unit which allow  
4 operation independent of a central control unit. (Br. 4.) Appellant explains  
5 that "[c]laim 1 requires, in part, one or more of the peripheral apparatus  
6 being provided with emergency control means which are arranged to allow  
7 the peripheral apparatus to operate independently from the central control  
8 unit." (*Id.*) According to Appellant, (Br. 4) "Ishii teaches that the operating  
9 peripheral apparatus is always under the control of the CPU 11." It is  
10 argued, (*id.*) that if both the control unit and emergency control means are  
11 satisfied by CPU 11 then it is not possible that the emergency control means  
12 of Ishii are arranged to allow the peripheral apparatus to operate  
13 independently from the central control unit. Appellant further contends that  
14 claim 1 requires, *inter alia*, an emergency control unit for controlling the  
15 emergency control means independently from the central control, and that  
16 since the Office Action states that in Ishii, the central control unit and the  
17 emergency control unit are one and the same, Ishii does not teach or suggest  
18 an emergency control unit for controlling the emergency control means  
19 *independently from the central control.*

20 The Examiner contends that the CPU of Ishii functions as both a  
21 central control unit and an emergency control unit. (Answer 3.) The  
22 Examiner argues that given the fact "that the peripheral apparatus can  
23 operate in response to the control signals from the emergency control unit,  
24 which is part of the CPU 11, it inherently operates independently from the  
25 normal action deciding means, which is also part of CPU 11." (Answer 4.)

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1 With regard to the rejection of claims 4, 6, and 7, the Examiner's position  
2 can be found on pages 4 and 5 of the Answer. These claims have not been  
3 argued by Appellant.

4 We reverse.

5 **ISSUE**

6 With regard to the rejection of claims 1-3 and 5 under 35 U.S.C.  
7 § 102(b), the issue is whether Ishii, expressly or under the principles of  
8 inherency, anticipates the language of claim 1. With regard to the rejection  
9 of claims claims 4, 6, and 7 under 35 U.S.C. § 103(a) as being obvious over  
10 Ishii, the issue is whether the Examiner has articulated a prima facie case of  
11 obviousness of these claims.

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**FINDINGS OF FACT**

15 We find that the following enumerated findings are supported by at  
16 least a preponderance of the evidence. *Ethicon, Inc. v. Quigg*, 849 F.2d  
17 1422, 1427, 7 USPQ2d 1152, 1156 (Fed. Cir. 1988) (explaining the general  
18 evidentiary standard for proceedings before the Office).

19 1. Appellant invented a medical imaging device,  
20 method, and computer program for use in a safety critical environment.  
21 (Specification 1.)

22 2. One or more peripheral devices are provided with a separate  
23 emergency control means which are arranged to allow the peripheral  
24 apparatus provided with emergency control means to operate independently  
25 from the central control unit. (Specification 1-2.)

- 1 From our review of Ishii, we make the following findings of fact:
- 2 3. “The present invention relates to the Medical image processor  
3 used for X ray CT apparatus, MRI apparatus and the like, and to  
4 the medical image processor with emergency treatment function  
5 particularly when a snag takes place.” (Ishii 2).
- 6 4. In Ishii, 11 is a central processing unit, and 12 and 13 are first and  
7 second computing units, respectively. (Ishii 5.)
- 8 5. Central processing unit 11 controls the entire image processor  
9 which contains the first and second computing units 12 and 13.  
10 (*Id.*)
- 11 6. Central processing unit combines the normal action deciding  
12 means (which decides whether or not the computing units 12, 13  
13 are operating normally), and the computing function replacement  
14 control means which replaces the faulty computing unit with the  
15 other computing unit. (*Id.*)
- 16 7. From the description in Ishii, we find that Ishii describes a single  
17 central processing unit 11 that controls two computing units 12 and  
18 13. If the central processing unit determines that one of the  
19 computing units is faulty, the system switches to the other  
20 computing unit to process the data.

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22 **PRINCIPLES OF LAW**

23 Initially we note that anticipation by a prior art reference does not  
24 require either the inventive concept of the claimed subject matter or the  
25 recognition of inherent properties that may be possessed by the prior art

1 reference. *See Verdegaal Bros. Inc. v. Union Oil Co.*, 814 F.2d 628, 633, 2  
2 USPQ2d 1051, 1054 (Fed. Cir. 1987).

3 A claimed invention is unpatentable if the differences between it and the  
4 prior art are “such that the subject matter as a whole would have been  
5 obvious at the time the invention was made to a person having ordinary skill  
6 in the art.” 35 U.S.C. § 103(a) (2000); *In re Kahn*, 441 F.3d 977, 985 (Fed.  
7 Cir. 2006) (citing *Graham v. John Deere Co.*, 383 U.S. 1, 13-14, 148 USPQ  
8 459, 465 (1966)). In *Graham*, the Court held that that the obviousness  
9 analysis begins with several basic factual inquiries: “[1] the scope and  
10 content of the prior art are to be determined; [(2)] differences between the  
11 prior art and the claims at issue are to be ascertained; and [(3)] the level of  
12 ordinary skill in the pertinent art resolved.” *Graham*, 383 U.S. at 17, 148  
13 USPQ at 467. After ascertaining these facts, the obviousness of the  
14 invention is then determined “against th[e] background” of the *Graham*  
15 factors. *Id.* at 17-18, 148 USPQ at 467.

16 The Supreme Court has provided guidance for determining obviousness  
17 based on the *Graham* factors. *KSR Int’l Co. v. Teleflex Inc.*, 127 S.Ct. 1727,  
18 82 USPQ2d 1385 (April 30, 2007). “The combination of familiar elements  
19 according to known methods is likely to be obvious when it does no more  
20 than yield predictable results.” *Id.* 127 S.Ct. at 1739, 82 USPQ2d at 1395.  
21 “In determining whether the subject matter of a patent claim is obvious,  
22 neither the particular motivation nor the avowed purpose of the patentee  
23 controls. What matters is the objective reach of the claim. If the claim  
24 extends to what is obvious, it is invalid under § 103.” *Id.* 127 S.Ct. at 1741-  
25 42, 82 USPQ2d at 1397.

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ANALYSIS

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2 We begin with the rejection of claims 1-3 and 5 under 35 U.S.C. §  
3 102(b) as being anticipated by Ishii. As Appellant has only argued claim 1,  
4 we select claim 1 as representative of the group. From facts 3-7 we find that  
5 Ishii describes a single central processing unit, and does not describe an  
6 emergency control unit for controlling the emergency control means  
7 independently from the central control unit, as recited in claim 1. In other  
8 words, since Ishii only describes a single central processing unit, the  
9 reference does not describe, expressly or inherently, a second control unit,  
10 and therefore does not describe an emergency control unit separate from the  
11 central control unit. In Ishii, there are two separate computing units 12, 13.  
12 Upon failure of one of the computing units, as determined by the central  
13 processing unit, the processing is shifted to the other computing unit. As  
14 stated by the Examiner (Answer 3) the central processing unit of Ishii  
15 functions as both a central control unit and an emergency control unit. If the  
16 CPU of Ishii carries out both functions, it cannot be reasonably said that the  
17 emergency control unit controls the emergency control means independently  
18 from the central control unit. Accordingly, we are in agreement with  
19 Appellant, for the reasons set forth in the Brief and Reply Brief (pp. 4-6) that  
20 Ishii fails to anticipate claim 1. It follows that we cannot sustain the  
21 anticipation rejection of claim 1-3, and 5.

22 We turn next to the rejection of claims 4, 6, and 7 under 35 U.S.C.  
23 § 103(a) as being unpatentable over Ishii. We cannot sustain the rejection of  
24 these claims because the Examiner has not explained, nor do we conclude  
25 that the deficiencies of Ishii would have been obvious to an artisan.

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CONCLUSION OF LAW

On the record before us, we hold that the Examiner has failed to establish that Ishii anticipates claims 1-3 and 5, or that Ishii renders obvious claims 4, 6, and 7.

DECISION AND ORDER

The Examiner's rejection of claims 1-3 and 5 under 35 U.S.C. § 102(b) is reversed. The Examiner's rejection of claims 4, 6, and 7 under 35 U.S.C. § 103(a) is reversed.

REVERSED

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PHILIPS INTELLECTUAL PROPERTY & STANDARDS  
595 MINER ROAD  
CLEVELAND, OH 44143