

1 UNITED STATES PATENT AND TRADEMARK OFFICE

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4 BEFORE THE BOARD OF PATENT APPEALS  
5 AND INTERFERENCES  
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8 *Ex parte* ROBERT J. LAFERRIERE and FRANCIS W. KASPER  
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11 Appeal 2007-3481  
12 Application 09/682,238  
13 Technology Center 3700  
14

15  
16 Decided: July 8, 2008  
17

18  
19 Before WILLIAM F. PATE, III, LINDA E. HORNER, and  
20 ANTON W. FETTING, *Administrative Patent Judges*.  
21 FETTING, *Administrative Patent Judge*.

22 DECISION ON APPEAL

23 STATEMENT OF CASE

24 Robert J. Laferriere and Francis W. Kasper (Appellants) seek review under  
25 35 U.S.C. § 134 of a final rejection of claims 16-42, the only claims pending in the  
26 application on appeal.

27 We have jurisdiction over the appeal pursuant to 35 U.S.C. § 6(b) (2002).

28  
29 We AFFIRM.

1       The Appellants invented a technique for collaboratively training, servicing,  
2       managing and interacting with a remote computing system and persons associated  
3       with a medical system, such as a medical diagnostic imaging system. It provides a  
4       shared computing environment for a remote computing system coupled to a  
5       medical diagnostic imaging system and a collaborative computing environment  
6       between a trainee and a remote trainer for interactively instructing a trainee  
7       (Specification 3: ¶'s 0006-8).

8       An understanding of the invention can be derived from a reading of exemplary  
9       claims 16, 28, and 34, which are reproduced below [bracketed matter, emphasis,  
10       and some paragraphing added].

11       16. A method for remotely training persons having a medical  
12       diagnostic imaging system, the method comprising:  
13       [1] providing a collaborative computing environment between a  
14       trainee and a remote trainer for a medical diagnostic imaging system,  
15       the collaborative computing environment comprising  
16       a first computing system operated by the trainee and  
17       a second computing system; and  
18       [2] interactively instructing the trainee via the collaborative  
19       computing environment, wherein interactively instructing the trainee  
20       includes  
21       *controlling* the first computing system  
22       via the second computing system  
23       in an operating system-independent manner.

24       28. A method for collaborating between remote computing  
25       environments, including a medical diagnostic imaging system, the  
26       method comprising:  
27       [1] initiating a link between a first and a second remote computing  
28       environment;

1 [2] sharing a graphical user interface with the first and second remote  
2 computing environment; and

3 [3] collaboratively interacting with a medical diagnostic imaging  
4 system coupled to the first remote computing environment,

5 wherein the second remote computing environment *interacts*  
6 with the medical diagnostic imaging system via the first remote  
7 computing environment.

8 34. A system for collaboratively interacting between remote  
9 computing environments associated with a medical diagnostic  
10 imaging system, the system comprising:

11 [1] a first computing system coupled to a medical diagnostic imaging  
12 system;

13 [2] a second computing system remotely coupled to the first  
14 computing system via a network; and

15 [3] a user interface shared by the first and second computing systems  
16 for collaboratively interacting with the medical diagnostic imaging  
17 system,

18 wherein the second computing system *interacts* with the  
19 medical diagnostic imaging system

20 by *controlling* the first computing system.  
21

22 This appeal arises from the Examiner's final rejection, mailed June 1, 2005.  
23 The Appellants filed an Appeal Brief in support of the appeal on December 19,  
24 2005. An Examiner's Answer to the Appeal Brief was mailed on May 5, 2006. A  
25 Reply Brief was filed on July 10, 2006.

1 PRIOR ART

2 The Examiner relies upon the following prior art<sup>1</sup>:

Slattery	US 6,514,085 B2	Feb. 4, 2003
Ross	US 6,608,628 B1	Aug. 19, 2003
Stein	US 5,684,952	Nov. 4, 1997

3 REJECTIONS

4 Claims 16-42 stand rejected under 35 U.S.C. § 103(a) as unpatentable over  
5 Slattery and Ross.

6 Claim 16 stands rejected under 35 U.S.C. § 102(b) as anticipated by Stein.

7 Claim 16 stands rejected under 35 U.S.C. § 103(a) as unpatentable over Stein  
8 and Ross.

9 ISSUES

10 The issues pertinent to this appeal are

- 11 • Whether the Appellants have sustained their burden of showing that the  
12 Examiner erred in rejecting claims 16-42 under 35 U.S.C. § 103(a) as  
13 unpatentable over Slattery and Ross.
- 14 • Whether the Appellants have sustained their burden of showing that the  
15 Examiner erred in rejecting claim 16 under 35 U.S.C. § 102(b) as anticipated  
16 by Stein.

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<sup>1</sup> The Examiner also refers to Microsoft, Administering an ISP (Answer 2), but this is not part of any of the Examiner's rejections.



1        *Facts Related to Appellants' Disclosure*

2            03. The computer systems described in the Specification include  
3            workstations that operate on a UNIX platform. However, any other  
4            suitable platform may be employed, including Solaris, IRIX, LINUX  
5            and so forth. Collaborative computing between a plurality of computing  
6            systems at a plurality of remote locations, where each of the computing  
7            systems may have a distinctly different operating system or platform is  
8            possible (Specification 5:¶ 0018).

9        *Slattery*

10           04. Slattery is directed to a system for training a user in controlling a  
11           device. This system includes a user computer for accepting device  
12           control information, and a device controller remotely connected to the  
13           user computer. The device controller receives the device control  
14           information from the user, and transfers device control information to  
15           the device (Slattery 1:57-65).

16           05. A user may remotely connect to a device controller using a user  
17           computer (Slattery 2:1-2).

18           06. Slattery describes a system connecting a computer to a set of devices  
19           through the internet and a pod controller. The pod controller may  
20           control one or more pods each of which may contain one or more user  
21           equipment devices, such as CISCO type switches or routers,  
22           Programmable Logic Controllers (PLCs), Chemistry Equipment, or any  
23           other type of device (Slattery 3:32-52; Fig. 1).

24           07. The pod controller may include a device control module, a user  
25           communications module, a mentor communications module, an

1 infrastructure control module, a device communications, control, and  
2 multiplexor module, and an interface to the device module (Slattery  
3 3:61-67; Fig. 3).

4 08. The device control module is used to control user accessible devices.  
5 It incorporates the control software that enables the pod control system  
6 to load starting configurations into the user devices, reset the user  
7 devices and save final configurations (Slattery 4:3-7; Fig. 3).

8 09. The user communications module operates such that when a user  
9 connects to a user device, the connection is made through the user  
10 communications module. This module receives the connection from the  
11 network and validates the user's authorization to access specific devices.  
12 This module further translates information received by a user in one  
13 protocol into a protocol for feeding into the user device (Slattery 4:9-16;  
14 Fig. 3).

15 10. The mentor communications module permits a mentor to monitor and  
16 participate in controlling the user devices during a learning exercise. The  
17 mentor communications module authenticates and authorizes the mentor  
18 to connect to specific devices through a computer. A mentor may be a  
19 program, such as an Artificial Intelligence program, a person, or any  
20 type of hardware or software capable of aiding a user in learning about  
21 the user device and its operation (Slattery 4:17-25; Fig. 3).

22 11. The infrastructure control module allows additional devices to be  
23 interconnected to the user devices in order to replace real-world  
24 scenarios. These devices are part of the infrastructure and may require  
25 separate control by the pod controller. As such, this module provides the

1 control of the infrastructure devices that are needed to create a real-  
2 world scenario for the user (Slattery 4:26-32; Fig. 3).

3 12. A device communications, control, and multiplexor module provides  
4 low-level communications and control for each device. In addition, this  
5 module provides a mechanism for multiple modules to simultaneously  
6 communicate with a single device (Slattery 4:38-42; Fig. 3).

7 13. The interface to device module provides the pod controller with the  
8 capability of the pod controller to communicate directly with the device  
9 (Slattery 4:55-58; Fig. 3).

10 14. Slattery describes KIBITZ as a program that allows two users to  
11 collaborate over a network while interacting with a single program.  
12 Thus, by using one KIBITZ for each user device, everything the user  
13 types can be seen by the mentor, and vice versa (Slattery 7:55-60).

14 *Ross*

15 15. Ross is directed to enabling a number of geographically distributed  
16 users to collaboratively view and manipulate images of an object. A data  
17 structure including data representing the object is maintained that  
18 includes a set of variables that are shared by each of a number of remote  
19 processing systems. Data is multicast to each of the remote processing  
20 systems based on the data structure, to allow the image to be displayed  
21 on each of the remote processing systems. Transmission of user inputs  
22 applied at each of the client systems is coordinated, to allow the image  
23 displayed on each of the client systems to be updated in real-time in  
24 response to user inputs applied at each other client system (Ross 3:7-23).

1           16. Ross's image data are medical image data generated from a CT or  
2           MRI scan (Ross 4:25-27).

3           17. Ross relies on OpenGL, an open system with well-documented  
4           application program interfaces (API's) for its graphics. This allows  
5           Ross's system to operate under a variety of different operating systems  
6           (Ross 10:44-52)

7           *Stein*

8           18. Stein is directed to networked computer workstations that are  
9           particularly suited for use in classroom and other instructional types of  
10          environments, and enabling an administrator to monitor and control  
11          individual workstations within the network (Stein 1:9-14).

12          *Facts Related To The Level Of Skill In The Art*

13          19. Neither the Examiner nor the Appellants has addressed the level of  
14          ordinary skill in the pertinent arts of tracking items and data formatting.  
15          We will therefore consider the cited prior art as representative of the  
16          level of ordinary skill in the art. *See Okajima v. Bourdeau*, 261 F.3d  
17          1350, 1355 (Fed. Cir. 2001) (“[T]he absence of specific findings on the  
18          level of skill in the art does not give rise to reversible error ‘where the  
19          prior art itself reflects an appropriate level and a need for testimony is  
20          not shown’”) (quoting *Litton Indus. Prods., Inc. v. Solid State Sys. Corp.*,  
21          755 F.2d 158, 163 (Fed. Cir. 1985).

22          20. One of ordinary skill knew that operating systems such as Solaris,  
23          IRIX, and LINUX, were heavily based on UNIX and had a high degree  
24          of commonality with UNIX.



1 Cir. 2003) (claims must be interpreted “in view of the specification” without  
2 importing limitations from the specification into the claims unnecessarily)

3 Although a patent applicant is entitled to be his or her own lexicographer of  
4 patent claim terms, in *ex parte* prosecution it must be within limits. *In re Corr*,  
5 347 F.2d 578, 580 (CCPA 1965). The applicant must do so by placing such  
6 definitions in the Specification with sufficient clarity to provide a person of  
7 ordinary skill in the art with clear and precise notice of the meaning that is to be  
8 construed. *See also In re Paulsen*, 30 F.3d 1475, 1480 (Fed. Cir. 1994) (although  
9 an inventor is free to define the specific terms used to describe the invention, this  
10 must be done with reasonable clarity, deliberateness, and precision; where an  
11 inventor chooses to give terms uncommon meanings, the inventor must set out any  
12 uncommon definition in some manner within the patent disclosure so as to give  
13 one of ordinary skill in the art notice of the change).

#### 14 *Anticipation*

15 "A claim is anticipated only if each and every element as set forth in the claim  
16 is found, either expressly or inherently described, in a single prior art reference."  
17 *Verdegaal Bros. v. Union Oil Co. of California*, 814 F.2d 628, 631 (Fed. Cir.  
18 1987). "When a claim covers several structures or compositions, either generically  
19 or as alternatives, the claim is deemed anticipated if any of the structures or  
20 compositions within the scope of the claim is known in the prior art." *Brown v.*  
21 *3M*, 265 F.3d 1349, 1351 (Fed. Cir. 2001). "The identical invention must be  
22 shown in as complete detail as is contained in the ... claim." *Richardson v. Suzuki*  
23 *Motor Co.*, 868 F.2d 1226, 1236 (Fed. Cir. 1989). The elements must be arranged  
24 as required by the claim, but this is not an *ipsisssimis verbis* test, i.e., identity of  
25 terminology is not required. *In re Bond*, 910 F.2d 831, 832 (Fed. Cir. 1990).

1 *Obviousness*

2 A claimed invention is unpatentable if the differences between it and the  
3 prior art are “such that the subject matter as a whole would have been obvious at  
4 the time the invention was made to a person having ordinary skill in the art.” 35  
5 U.S.C. § 103(a) (2000); *KSR Int’l v. Teleflex Inc.*, 127 S.Ct. 1727 (2007); *Graham*  
6 *v. John Deere Co.*, 383 U.S. 1, 13-14 (1966).

7 In *Graham*, the Court held that that the obviousness analysis is bottomed on  
8 several basic factual inquiries: “[1)] the scope and content of the prior art are to be  
9 determined; [(2)] differences between the prior art and the claims at issue are to be  
10 ascertained; and [(3)] the level of ordinary skill in the pertinent art resolved.” 383  
11 U.S. at 17. *See also KSR*, 127 S.Ct. at 1734. “The combination of familiar  
12 elements according to known methods is likely to be obvious when it does no more  
13 than yield predictable results.” *KSR*, at 1739.

14 “When a work is available in one field of endeavor, design incentives and  
15 other market forces can prompt variations of it, either in the same field or a  
16 different one. If a person of ordinary skill can implement a predictable variation,  
17 § 103 likely bars its patentability.” *Id.* at 1740.

18 “For the same reason, if a technique has been used to improve one device,  
19 and a person of ordinary skill in the art would recognize that it would improve  
20 similar devices in the same way, using the technique is obvious unless its actual  
21 application is beyond his or her skill.” *Id.*

22 “Under the correct analysis, any need or problem known in the field of  
23 endeavor at the time of invention and addressed by the patent can provide a reason  
24 for combining the elements in the manner claimed.” *Id.* at 1742.

1 ANALYSIS

2 *Claims 16-42 rejected under 35 U.S.C. § 103(a) as unpatentable over Slattery and*  
3 *Ross.*

4 *Claims 16-22, 24, 25, and 27*

5 The Appellants argue claims 16-22, 24, 25, and 27 as a group.

6 Accordingly, we select claim 16 as representative of the group.  
7 37 C.F.R. § 41.37(c)(1)(vii) (2007).

8 The Examiner found that Slattery described all of the limitations of claim 16  
9 except that Slattery did not describe a medical diagnostic imaging system. To  
10 overcome this deficiency, the Examiner found that Ross described a medical  
11 diagnostic imaging system in a training environment. The Examiner concluded  
12 that it would have been obvious to a person of ordinary skill in the art to have  
13 applied Slattery's training system with Ross's medical diagnostic imaging system  
14 because Ross described a known use for training systems such as that in Ross  
15 (Answer 3-4).

16 The Appellants contend that Slattery's mentor computer does not control a  
17 device through the student computer (Appeal Br. 7:Bottom ¶), but rather directly  
18 controls a device independent of the student computer (Appeal Br. 8:Top ¶).

19 The Examiner responded that claim 16 requires controlling the first computing  
20 system via the second computing system, not one computer *per se* by another. The  
21 Examiner then construed the term "computing system" to encompass the combined  
22 trainee's computer and pod controller in Slattery. The Examiner then found that  
23 Slattery's description of the mentor controlling the pod controller described a

1 trainer's computing system controlling a trainee's computing system (Answer 10-  
2 12).

3 The Appellants in turn argued that this was an unreasonable construction of a  
4 computer system. The Appellants argued the primacy of the Specification in claim  
5 construction, citing *Phillips v. AWH Corp.*, 415 F.3d 1303 (Fed. Cir. 2005) (Reply  
6 Br. 2:Bottom ¶ - 3:Last full ¶). The Appellants further argued that there is no  
7 support for the Examiner's contention that Slattery's pod controller, which is  
8 separate from the computer system designated as reference numeral 12, would be  
9 part of that computer system (Reply Br. 5:Second full ¶). The Appellants also  
10 argued that a construction in which the pod controller is part of the student's  
11 computer system would be in direct opposition to the Specification (Reply Br.  
12 6:Top ¶).

13 The Appellants then argued that in Slattery, each of the student and mentor can  
14 watch the other separately control the device (Reply Br. 4:Top ¶) and each  
15 separately controls the device, concluding that the mentor does not control the  
16 device through the student computer (Reply Br. 4:First full ¶). The Appellants  
17 concluded that the Examiner used impermissible hindsight in creating such a  
18 construction (Reply Br. 7:First full ¶).

19 Thus, the issue before us is whether it was obvious over the combination of  
20 Slattery and Ross to have a trainer's computing system control a trainee's  
21 computing system. The Appellants do not contest the Examiner's finding that the  
22 references describe the step of providing a collaborative computing environment  
23 (step [1]) of claim 16. The Appellants also do not contest the Examiner's findings  
24 for the Examiner's analysis of step [2] that the references describe: (1)  
25 interactively instructing a trainee; (2) using the collaborative computing

1 environment for training; and (3) performing the interactive instruction in an  
2 operating system-independent manner.

3 The Examiner is correct that claim 16 refers to a computing system used by a  
4 trainee, and not a trainee's computer *per se*. The Specification provides no  
5 lexicographic definition of a computing system, but the usual and customary  
6 meaning is a network of related computer software, hardware, and data  
7 transmission devices (FF 01 & 02). Claim 16 itself imposes no boundary on the  
8 scope of a first computing system other than that it is operated by the trainee.

9 Since the claim does not provide clear boundaries as to the scope of what a  
10 computing system may contain, and the Specification provides no clear definition,  
11 we construe the limitation according to its broadest reasonable interpretation as a  
12 network of related computer software, hardware, and data transmission devices.  
13 While we agree with the Appellants that *Philips* provided rules of construction in  
14 litigation, we must remind the Appellants that the rules of construction differ in  
15 examination, where the Appellants have the opportunity to amend claims and  
16 resolve ambiguities that lead to interpretations broader than the Appellants  
17 otherwise contend.

18 The Board erred in its interpretation of claims [], the error apparently  
19 flowing from the Board's choice of an inapplicable legal premise. The  
20 Board applied the mode of claim interpretation that is used by courts  
21 in litigation, when interpreting the claims of issued patents in  
22 connection with determinations of infringement or validity. . . .

23 During patent examination the pending claims must be  
24 interpreted as broadly as their terms reasonably allow. When the  
25 applicant states the meaning that the claim terms are intended to have,  
26 the claims are examined with that meaning, in order to achieve a  
27 complete exploration of the applicant's invention and its relation to the  
28 prior art. The reason is simply that during patent prosecution when

1           claims can be amended, ambiguities should be recognized, scope and  
2           breadth of language explored, and clarification imposed.  
3    *In re Zletz*, 893 F.2d 319, 321 (Fed. Cir. 1989) (citations omitted). Thus, the  
4    ambiguity of the scope of a computing system was recognized by the Examiner,  
5    and the Examiner construed that term according to its broadest reasonable  
6    interpretation.

7           Slattery is directed to a system for training a user in controlling a device. This  
8    system includes a user computer for accepting device control information, and a  
9    device controller remotely connected to the user computer. The device controller  
10   receives the device control information from the user, and transfers device control  
11   information to the device (FF 04). Thus Slattery's system used by the trainee user  
12   includes a user computer, a device controller, and a device. Slattery's mentor  
13   communications module permits a mentor to monitor and participate in controlling  
14   the user devices during a learning exercise (FF 10). Thus, Slattery describes  
15   allowing a trainer's computer to control a system used by a trainee. The issue then  
16   is whether the system used by Slattery's trainee is a computing system as used by  
17   the trainee as in claim 16.

18           We find that the trainee must send its control signals through a user  
19   communications module, a device communications, control, and multiplexor  
20   module, and an interface to a device module in the pod controller in order to  
21   control Slattery's device. These signals must also rely on a device control module  
22   (FF 07, 08, 09, 12, & 13). As such, the trainee's control signals control these  
23   devices within Slattery's pod controller, and they, along with the trainee user's  
24   computer therefore form a network of related computer software, hardware, and  
25   data transmission devices.



1 interact, is itself not an operating system, it must in turn interact with the users'  
2 computers' operating systems for input and output. Thus we find that Slattery  
3 describes remotely interacting with an operating system.

4 Further we cannot agree with the Appellants that Slattery's control and  
5 participation does not suggest responding. The Appellants do not explain why they  
6 would make such a distinction, but by any measure, the signals the pod controller  
7 returns to the trainee or mentor are a response. Yet further, claim 26 does not  
8 recite who or what performs a response and what the nature of the response is.  
9 Thus, either of the mentor or trainee responding to the performance of the  
10 controlled devices would have been both predictable and within the scope of claim  
11 26. "The combination of familiar elements according to known methods is likely  
12 to be obvious when it does no more than yield predictable results." *KSR*, 127 S. Ct.  
13 at 1739.

14 *Claims 28-33*

15 The Appellants separately argue claims 28-33 with the same arguments they  
16 made supporting the patentability of claim 16 (Appeal Br. 13-14). These arguments  
17 were not sufficient to overcome their burden of showing error in the Examiner's  
18 rejection of claim 16, *supra*, and are therefore similarly not sufficient for these  
19 claims.

20 *Claims 34-42*

21 The Appellants separately argue claims 34-42 with the same arguments they  
22 made supporting the patentability of claim 16 (Appeal Br. 14). These arguments  
23 were not sufficient to overcome their burden of showing error in the Examiner's  
24 rejection of claim 16, *supra*, and are therefore similarly not sufficient for these  
25 claims.

1       The Appellants have not sustained their burden of showing that the Examiner  
2       erred in rejecting claims 16-42 under 35 U.S.C. § 103(a) as unpatentable over  
3       Slattery and Ross.

4               *Claim 16 rejected under 35 U.S.C. § 102(b) as anticipated by Stein.*

5       The Examiner found that Stein described all of the limitations of claim 16 and  
6       that claim 16's medical diagnostic imaging system was only a recitation of  
7       intended use and did not result in a structural difference between the claimed  
8       invention and the prior art (Answer 9).

9       The Appellants contend that anticipation requires that all limitations be found  
10      in the prior art (Reply Br. 8:Legal Precedent). We agree. The Examiner admitted  
11      that Stein did not describe a medical diagnostic imaging system.

12      The Appellants have sustained their burden of showing that the Examiner erred  
13      in rejecting claim 16 under 35 U.S.C. § 102(b) as anticipated by Stein.

14              *Claim 16 rejected under 35 U.S.C. § 103(a) as unpatentable over Stein and Ross.*

15      The Examiner found that Stein described all but one of the limitations of claim  
16      16, and in particular that Stein described interactively instructing the trainee in an  
17      operating-system independent manner in Stein 7:4-6, describing the teacher's  
18      ability to intercept input and output from the student's computer. The Examiner  
19      found that Stein did not describe a medical diagnostic imaging system. To  
20      overcome this deficiency, the Examiner found that Ross described a medical  
21      diagnostic imaging system used in a training environment. The Examiner found  
22      that Ross described training in the use of medical images and implicitly found that  
23      one of ordinary skill would have known that physicians require training on the  
24      medical devices that create the images described by Ross and therefore would have  
25      desired a training system for such medical devices. The Examiner concluded that

1 it would have been obvious to a person of ordinary skill in the art to have applied  
2 Stein's training system with Ross's medical diagnostic imaging system, to provide  
3 the training needed for the medical devices used to create Ross's images (Answer  
4 9).

5 The Appellants do not dispute that Stein describes the trainer computing  
6 system controlling the trainee computing system, but contend that neither Stein nor  
7 Ross describe or suggest control in an operating system-independent manner. The  
8 Appellants argue that Stein relies on the operating system to launch a file (Reply  
9 Br. 9-10).

10 Thus the sole issue is whether the combination of Stein and Ross suggested  
11 controlling another computing system in an operating system-independent manner.  
12 We must therefore first construe this limitation. The term "independent" is always  
13 ambiguous to a degree since nothing is completely independent. In the case of  
14 anything occurring in a computing system, since an operating system is simply the  
15 set of software controlling the hardware and their interactions up to some arbitrary  
16 level decided by the operating system designers, nothing occurring in a computing  
17 system is completely operating system independent. Thus, we must determine the  
18 scope of such independence within the context of the application.

19 The support for this limitation is in the Specification ¶ 18 (Appeal Br. 3:First  
20 full ¶), which states that the computer systems include workstations that operate on  
21 a UNIX platform, or other such as Solaris, IRIX, LINUX and so forth.  
22 Collaborative computing between a plurality of computing systems at a plurality of  
23 remote locations, where each of the computing systems may have a distinctly  
24 different operating system or platform is possible (FF 03).

1 First, we find that these operating systems specifically delineated have a high  
2 degree of commonality (FF 20). So the scope of independence is within some  
3 degree of commonality. Even if an operating system other than one derived from  
4 UNIX were to also be accommodated, one of ordinary skill knew that most  
5 operating systems provided substantially similar input and output capabilities and  
6 therefore accommodated programs that could be compiled to operate in a  
7 substantially similar manner as in any other operating system (FF 21). Therefore,  
8 we construe the limitation of “operating system independent manner” to mean that  
9 the controlling can be performed by a program that has been compiled for the  
10 operating system in which it resides, so that the control occurs independently of the  
11 operating system environment. This is consistent with the Examiner’s finding that  
12 such independence was shown by Stein’s interception of the student’s input and  
13 output stream by the teacher.

14 One of ordinary skill similarly knew that most popular programming languages  
15 that required compiling provided a syntax and grammar independent of operating  
16 systems (FF 21). Further, one of ordinary skill knew that using open systems with  
17 well-documented application program interface (API) linkages made writing  
18 programs for any operating system much more practical (FF 22). Ross suggested  
19 the use of open systems with its reliance on an open system known as OpenGL for  
20 its graphics (FF 17). Thus, not only did one of ordinary skill know of the  
21 desirability and techniques to create programs that operated in an operating system  
22 independent manner, Ross suggested using techniques such as API’s for making  
23 writing such programs more effective. We therefore find that writing programs to  
24 control devices as in Ross in an operating system-independent manner was simply  
25 a predictable way for creating such programs. “The combination of familiar

1 elements according to known methods is likely to be obvious when it does no more  
2 than yield predictable results.” *KSR*, 127 S. Ct. at 1739.

3 The Appellants have not sustained their burden of showing that the Examiner  
4 erred in rejecting claim 16 under 35 U.S.C. § 103(a) as unpatentable over Stein and  
5 Ross.

6 We have taken administrative notice of certain facts (FF 20, 21, & 22)  
7 necessary to properly construe the limitation regarding operating system  
8 independence and interpret how Stein meets this limitation. Accordingly, we  
9 denominate this rejection of claim 16 under 35 U.S.C. § 103(a) as unpatentable  
10 over Stein and Ross as a new ground of rejection.

#### 11 CONCLUSIONS OF LAW

12 The Appellants have sustained their burden of showing that the Examiner erred  
13 in rejecting claim 16 under 35 U.S.C. § 102(b) as anticipated by the prior art.

14 The Appellants have not sustained their burden of showing that the Examiner  
15 erred in rejecting claims 16-42 under 35 U.S.C. § 103(a) as unpatentable over the  
16 prior art.

17 The rejection of claim 16 under 35 U.S.C. § 103(a) as unpatentable over Stein  
18 and Ross is denominated as a new ground of rejection.

19 On this record, the Appellants are not entitled to a patent containing claims  
20 16-42.

#### 21 DECISION

22 To summarize, our decision is as follows:

- 1       • The rejection of claims 16-42 under 35 U.S.C. § 103(a) as unpatentable over  
2       Slattery and Ross is sustained.
- 3       • The rejection of claim 16 under 35 U.S.C. § 102(b) as anticipated by Stein is  
4       not sustained.
- 5       • The rejection of claim 16 under 35 U.S.C. § 103(a) as unpatentable over  
6       Stein and Ross is sustained.
- 7       • The rejection of claim 16 under 35 U.S.C. § 103(a) as unpatentable over  
8       Stein and Ross is denominated as a new ground of rejection within the  
9       meaning of 37 C.F.R. § 41.50(b) (2007).

10       Our decision is not a final agency action.

11       Regarding the affirmed rejection(s), 37 CFR § 41.52(a)(1) provides  
12       "[a]ppellant may file a single request for rehearing within two months from the  
13       date of the original decision of the Board."

14       In addition to affirming the examiner's rejection(s) of one or more claims, this  
15       decision contains new grounds of rejection pursuant to 37 CFR § 41.50(b). 37  
16       CFR § 41.50(b) provides "[a] new ground of rejection pursuant to this paragraph  
17       shall not be considered final for judicial review." This Decision contains a new  
18       rejection within the meaning of 37 C.F.R. § 41.50(b) (2007).

19       37 C.F.R. § 41.50(b) also provides that Appellants, WITHIN TWO MONTHS  
20       FROM THE DATE OF THE DECISION, must exercise one of the following two  
21       options with respect to the new rejection:



Appeal 2007-3481  
Application 09/682,238

1 vsh

2 GE HEALTHCARE  
3 c/o FLETCHER YODER, PC  
4 P.O. BOX 692289  
5 HOUSTON, TX 77269-2289